

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Thomas Reid Kelly et al.

U.S. Serial No:10/511,790

Filed: April 17, 2003

For: ALGINATE OR LOW-METHOXY  
PECTATE GEL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicant wishes to call to the attention of the Examiner the documents cited on the accompanying Form PTO-1449. No concession is made that these documents are prior art, and applicant expressly reserves the right to antedate the documents as may be appropriate. Applicant requests that each of these documents be made of record in the above-identified application.

Included with the PTO-1449 are copies of co-pending applications, of which we are aware, that may be relevant.

Respectfully Submitted



Frank J. Uxa  
Attorney for Applicants  
Registration No. 25,612  
4 Venture, Suite 300  
Irvine, CA 92618  
(949) 450-1750  
(949) 450-1764 Facsimile

June 14, 2005

FJUxa/jh

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Y.L.K.

Form PTO-1449

### INFORMATION DISCLOSURE CITATION IN AN APPLICATION

**Docket No.: D-3173**

Application No.: 10/511,790

Applicant: Kelly et al.

Filing Date: October 14, 2004

**Group Art Unit:**

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,403,547	07/1946	Peschardt			
	2,441,729	05/1948	Steiner			
	2,791,508	05/1957	Rivoche			
	2,813,030	11/1957	Rivoche			
	2,992,925	07/1961	Green et al.			
	3,085,015	04/1963	Schram			
	3,682,654	08/1972	Johnson			
	3,891,776	06/1975	Carpenter et al.			
	3,892,870	07/1975	Wood			
	3,922,360	11/1975	Sneath			
	3,997,684	12/1976	Willard			
	4,117,172	09/1978	Bradshaw et al.			
	4,154,856	09/1978	Akin			
	4,168,325	09/1978	Gonzalez et al.			
	4,251,547	02/1981	Liggett			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 93/03627	03/1993	International				
	WO 02/056713	07/2002	International				
	WO 02/071,867	09/2002	International				
	EP 0574051	12/1993	Europe	Nothing Translated or Considered			
	EP 0697176	02/1996	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER** J. Lynnette Kelly/

DATE CONSIDERED

11/27/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to the applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Y.L.K

Form PTO-1419

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

Docket No.: D-3173

Application No.: 10/511,790

Applicant: Kelly et al.

Filing Date: October 14, 2004

Group Art Unit:

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,323,474	04/1982	Hansen et al.			
	4,347,261	04/1982	Challen et al.			
	4,348,418	04/1982	Smith et al.			
	4,624,856	11/1986	Vanderveer et al.			
	4,799,962	01/1989	Ahmed			
	5,094,871	03/1992	Heath			
	5,217,740	06/1993	Lanter			
	5,393,546	02/1995	Thota et al.			
	5,578,337	11/1996	Thota et al.			
	5,985,336	11/1999	Dibner et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	UK 1302275	01/1973	United Kingdom				
	UK 1369198	10/1974	United Kingdom				
	CA 1172095 (abstract)	08/1984	Canada				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER: Y. Lynnette Kelly/

DATE CONSIDERED

11/27/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to the applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Y.L.K.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT



In re application of:  
KELLY et al. ) Group Art Unit: 1761  
)  
Serial No. 10/511,790 ✓ ) Examiner: Unknown  
Filed: June 17, 2005 )  
For: ALGINATE OR LOW-METHOXY )  
PECTATE GEL )

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on or before

Date August 11, 2005  
Janet McGraw

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant wishes to call to the attention of the Examiner the documents cited on the accompanying Form PTO-1449. No concession is made that these documents are prior art, and applicant expressly reserves the right to antedate the documents as may be appropriate.

This Supplemental Information Disclosure Statement is being filed before the first office action has been received from the Patent and Trademark Office in the above-identified application. Applicant requests that each of these documents be made of record in the above-identified application.

Respectfully submitted,

Greg S. Hollrigel  
Reg. No. 45,374  
4 Venture, Suite 300  
Irvine, CA 92618  
(949) 450-1750  
Facsimile (949) 450-1764

GSH/ac

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.